

<p>Certificate of Compliance No.....</p> <p>Registration №.....</p> <p>TIN №</p> <p>VAT №</p> <p>3. Physical and Postal address:</p> <p>a) Physical address (Street..... Plot No..... Block No.....Building No.....):</p> <p>b) Postal address:</p> <p>c) Land Line Telephone No:</p> <p>d) Facsimile:</p> <p>e) Cell Phone:</p> <p>f) E-mail:</p> <p>g) Website Address:</p> <p>4. Legal status of applicant:</p> <p><input type="checkbox"/> Sole proprietorship</p> <p><input type="checkbox"/> Partnership</p> <p><input type="checkbox"/> Public Limited Liability Company</p> <p><input type="checkbox"/> Private Limited Liability Company</p> <p>a) <input type="checkbox"/> Parastatal Organization</p> <p>b) <input type="checkbox"/> Government Agency</p>	<p>Date requested:</p> <p>Date received:</p> <p>Complete Address?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>FOR EWURA USE ONLY</p> <p>Further information required?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Date requested:</p> <p>Date received:</p> <p>Details match registration authorities?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Further action required?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Date requested:</p>
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- Cooperate Union Society
- Joint Venture
- Other (specify)

Date received:

Enclose the following (as appropriate):

- Certificate of Registration
- Certificate of Incorporation
- Memorandum of Association
- Articles of Association
- JV agreement
- Certificate of Compliance

Further action required?

- Yes No

5. Contact Person:

a) Contact Name:

Complete details provided?

- Yes No

Title:

b) Physical address (StreetPlot No.....
Block No.....Building No.....):

c) Postal address:

Further information required?

- Yes No

d) Land Telephone Number:

e) Facsimile:

Date requested:

f) Cell Phone:

g) E-mail:

Date received:

6. Information related to the applicant:

(Use separate sheets)

- Shareholding Arrangements
- Director(s)
- Members of the Board of Directors
- Managing Director (where applicable)

Complete details provided?

- Yes No

<input type="checkbox"/> Chief Executive Officer (where applicable) a) Name: b) Position: c) Contact address: d) Telephone number(s): e) Email address:	Further information required? <input type="checkbox"/> Yes <input type="checkbox"/> No
a) Name: b) Position: c) Contact address: d) Telephone number(s): e) Email address:	Further information required? <input type="checkbox"/> Yes <input type="checkbox"/> No
a) Name: b) Position: c) Contact address: d) Telephone number(s): e) Email address:	

7. Are any of the persons identified in Item 6 related to or in business with any existing petroleum service providers in Tanzania?

Yes No If yes, provide details on a separate sheet.

8. Has the applicant previously been denied a licence or had a license revoked?

Yes No If yes, provide details on a separate sheet.

9. Have any of the persons identified in Item 6 worked in or had business dealings with any whole seller of petroleum supplier that has had a licence revoked?

Yes No If yes, provide details on a separate sheet.

Further information required? <input type="checkbox"/> Yes <input type="checkbox"/> No
Date requested: Date received:
Further information required? <input type="checkbox"/> Yes <input type="checkbox"/> No

<p>10. If the applicant is in a Joint Venture with another entity, provide the following details:</p> <p>a) Name of Joint Venture:</p> <p>b) Physical address(street no., plot no., building):</p> <p>c) Postal address:</p> <p>d) Land Telephone Number</p>
e) Cell Phone:
f) Facsimile:
g) E-mail:
<i>Use separate sheets if necessary</i>

Date requested?
Date received:
Further information required? <input type="checkbox"/> Yes <input type="checkbox"/> No
Date requested:
Date received:

Section 1B – Details of licence application

11. Type of licence applied for:

Petroleum (Wholesale) Licence

Petroleum (Retail) Licence

Consumer Installation Licence

Other (specify)

Further information required?
 Yes No

Date requested

Date received

12. Type of application:

New

Renewal

Extension

13. Fee amount and method of payment:

a) Amount: TShs..... US\$

b) Mode: Cash Cheque Other (specify)

Correct fees paid?
 Yes No

Further action required?

14. Fees Payment Receipt No: (Attach Copy)

Action completed?
 Yes No

15. Desired date for licence to take effect:

If before 90 days from the date of application

If after 90 days from the date of application

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Section 1C – Financial Stability

16.1 Provide Certified Audited Financial Statements and Accounts for the 3 years prior to application.

prior 1 year prior 2 years prior 3 years

All documents submitted in full?

Yes No

16.2 If Certified Audited Financial Statements and Accounts for 3 years are not available, explain why on a separate sheet.

Further action required?

Yes No

17. Banker's Details in Tanzania:

a) Banker's Name:

b) Address:

c) Contact person and details

Use separate sheets if necessary

Further information required?

Yes No

Date requested:

Date received:

18. If applicable, Banker's Details outside of Tanzania

a) Banker's Name:

b) Address:

c) Contact person and details:

Use separate sheets if necessary

Further information required?

Yes No

Date requested:

Date received:

19. If applicable, any other Significant Referees on the Applicants Financial Status

a) Name of Referee/Entity:

Further information required?

Yes No

b) Address:

c) Contact person and details:

Use additional sheets if necessary

20. Applicant’s proposed investment over the next five years.

a) Currency: TShs US\$ € £ ¥

b) Amount (million):

21. Sources of funding for proposed investment:

Internal funding (provide details)

Loans (provide details)

Other (specify)

Use separate sheet if necessary

22. Describe the applicant’s principal business activity and as applicable, on a separate sheet, describe any other business activities in which the applicant is currently engaged.

Date requested:

Date received:

Further information required?

Yes No

Further information required?

Yes No

Further information required?

Yes No

Date requested:

Date received:

Section 2A – Technical and Managerial Competence

23. Is the applicant involved in any existing or pending litigation, either in Tanzania or in other countries?

Yes No If yes, on a separate sheet provide details for each separate litigation:

24. Does the applicant have a parent company or any affiliated company involved in any ongoing or pending litigation in Tanzania or in other countries?

Yes No If yes, on a separate sheet describe the details for each separate litigation:

Further information required?

Yes No

Date requested:

Date received:

Further information required?

Yes No

Date requested:

Date received:

25. Has any person identified in Item 6 been convicted of a crime?

Yes – If yes, provide details on a separate sheet.

No.

Further information required?

Yes No

Date requested:

Date received:

27. Has the applicant company or any parent/holding or associate company of the applicant been convicted of a crime in Tanzania or in any other country during the past 3 years?

Yes – If yes, provide details on a separate sheet.

No

Further information required?

Yes No

Date requested:

Date received:

28. Does any person identified in Item 6 hold any professional or petroleum industry qualifications?

Yes – If yes, attach relevant CVs

No

Further information required?

Yes No

Date requested:

Date received:

29. Has any person identified in Item 6 worked (or does such person continue to work) in the petroleum downstream industry (apart from the applicant company)?

Yes – If yes, attach relevant CVs.

No

Further information required?

Yes No

Date requested:

Date received:

30. On a separate sheet, describe how the applicant will ensure that it has adequate knowledge of the duties of a licensee and that the applicant has established systems and procedures to comply with the duties of a licensee as set out in the model licence.

Further information required?

Yes No

Date requested:

Date received:

Section 2B – Licence Renewal or Variations

(Note: Questions 31-38 are not applicable to new Applicants)

31. Licence renewal or variations applied for:

Petroleum (Wholesale) Licence

Petroleum (Retail) Licence

Further information required?

Yes No

Date requested:

- Consumer Installation Licence
- Other (specify)

32. Provide reference number and details for the existing licence. (Use separate sheets if necessary)

33. Has the licensee undergone any material changes (structural, legal, managerial or related to the services supplied) since its previous application?

- Yes – If yes, on a separate sheet provide details and appropriate attachments.
- No

34. Fee amount and method of payment:

Amount: TShs..... US\$

Mode: Cash Cheque Other (specify)

35. Fees Payment Receipt No.:

36. Does the applicant seek to vary the existing business or services provided?

- Yes – If yes, provide details on a separate sheet, including evidence of sufficient project financing.
- No

37. If the applicant seeks to vary the existing business or services provided, does the applicant intend to operate different type of licenced activity?

- Yes –If yes, provide details on a separate sheet.
- No – On a separate sheet provide details of contractor, sub-contractor or other operators

Date received:

Is the reference number correct?
 Yes No

Further information required?
 Yes No

Further information required?
 Yes No

Date requested:

Date received:

Correct fees paid?
 Yes No

Further action required?

Action Completed?

Further information required?
 Yes No

Date requested:

Date received:

Further information required?
 Yes No

Date requested:

Date received:

38. If the applicant seeks to vary the existing business or services provided, the applicant should provide on a separate sheet details of the arrangements that have been made to ensure continuity of service.

<input type="checkbox"/> Yes – If yes, provide details on a separate sheet. <input type="checkbox"/> No
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Further information required?

Yes No

Date requested:

Date received:

Section 2C – Technical Information

(Note: Questions 40 – 44 are not applicable to Applicants Renewing existing Licenses)

39. On a separate sheet, provide a detailed description of the following where appropriate:

- In case of wholesaler all list of retail outlets which you intend to supply with the petroleum products
- For wholesaler a list of port of entry and exit where you intend to import or export petroleum products as the case may be
- for all applicants location and capacity of the storage facilities
- for all applicants ownership of the storage facilities which shall include the land to which such facilities are located and in case of shared facilities the basis for such sharing
- for wholesalers all the names of other licencees sharing the storage facilities

Further information required?

Yes No

Date requested:

40. On a separate sheet, provide a detailed description of petroleum products quality plan comprising:

- In the case of storage facilities to be erected, final design or construction drawings of buildings, road works, structures and plant, including the location thereof, to be erected, or if not available, preliminary sketches or a general layout plan thereof
- in the case of existing storage facilities, the as built or record drawings of buildings, roadwork, structures and plant, including the location thereof.

Further information required?

Yes No

Date requested:

41. On a separate sheet, list and attach evidence of all required consents issued by relevant authorities:

- Building Permit
 Land Title
 Other (specify)

Further information required?

Yes No

Section 2D – Health, Safety, and Environment (HSE)

42. Attach with this application, as relevant, the following documents:

- EIA Study Report
 Environmental Management Plan
 Fire Certificate from the Fire Department

Does the documentation include approval by relevant authorities?

Yes No

Action required?

Yes No

Section 2E – Commercial Aspects

43. Indicate the intended market for the licensed goods and/or services

- Bulk Supplies
 Retail Supplies
 Operator ship
 Leasing Assets
 Export Abroad
 Own Consumption
 Other (specify)

Further information required?

Yes No

Date requested:

Date received:

44. On a separate sheet, as applicable, provide a list and attach copies of all relevant:

- contracts or agreements
 permits,
 memoranda of understanding (if any) governing commercial transactions of regulated goods and services.

Further information required?

Yes No

Date requested:

Date received:

45. On a separate sheet, indicate the districts you intend to serve or specific areas to which regulated goods and services are currently being provided.

Further information required?
 Yes No
 Date requested:
 Date received:

46. On a separate sheet, provide a detailed proposed structure of tariff/rate calculation methodology that indicates the applicant's required rate of return.

Further information required?
 Yes No
 Date requested:
 Date received:

47. Attach to this application either the applicant's existing or the proposed Customer Service Charter.

Does the service charter address consumer complaints?
 Yes No

Section 3 – List of Enclosures

48. Indicate below and where appropriate the certified copies of, or extracts from, the following documents the applicant is providing in this application:

Are all required information and inclusions provided?
 Yes No

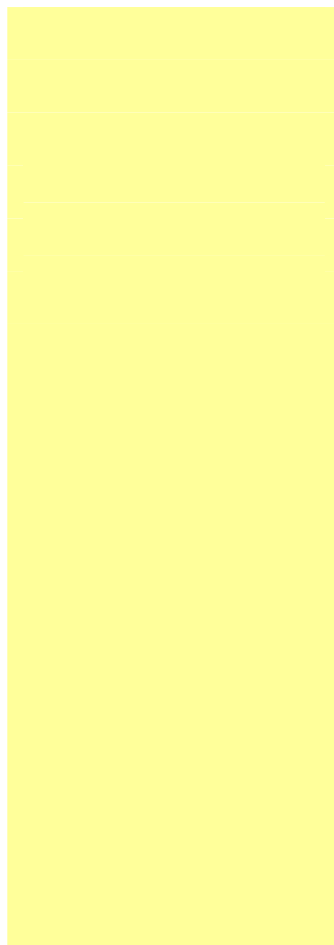
- Certificate of Registration
- Certificate of Incorporation
- Memorandum of Association
- Articles of Association
- Memorandum of Understanding
- Copy of Application Fee Receipt
- JV contract
- Certified Audited Accounts
- Annual Report
- Bankers' Proof
- Business Plan
- Feasibility Study
- Project Document
- Site Maps
- Land use Plan
- Design and As-built Drawings
- Process Flow Diagram
- Environmental Impact Assessment Study Report

Further information required?
 Date requested:
 Date received:

Are all details completed in full?
 Yes No
 Further action required?

- Environmental Management Plan
- Socio-Economic Plan
- Health and Safety Policy
- Approvals and Consents by relevant authorities
- Relevant Contracts/Agreements/MoU
- Current or proposed Customer Service Charter

- A surveyor’s certificate of the area measure of the relevant premises with an indication of the position of the storage facilities on the premises; or if not available an accurate indication of the location of the relevant premises on a map with a scale of 1:50 000. The complete map must be attached and not a copy or a segment thereof; or if not available accurate coordinates of the relevant premises and storage facilities as measured by a GPS with an indication of the coordinate system used; or if not available an accurate indication of the location of the relevant premises on a map with a scale of 1:250 000. The complete map must be attached and not a copy or a segment thereof.



Section 4 – Declaration By The Applicant

I.....(insert name) being.....(insert title/position) hereby declare that I am authorized to make this application on behalf of the applicant and that to the best of my knowledge the information supplied herein is correct and that within a reasonable period of time after notice, I undertake to provide whatever additional information EWURA may require in order to evaluate this application.

SWORN/AFFIRMED at.....)
 by the said.....who is identified to)
 me by...../known to me personally)
 the latter being known to me personally this) **DECLARANT**
day of2007)

BEFORE ME:

COMMISSIONER FOR OATHS

SUMMARY FOR OFFICIAL USE ONLY	
1. Name of Applicant	
2. Date of submission	
3. Docket Number	
4. Fees Paid	
5. Receipt Number	
6. Results of verification for completeness	
7. Dates and Newspapers in which Application was advertised:	
8. Results of Public Hearing	
9. Recommendation by EWURA Management	
10. Decision of EWURA Board of Directors	
11. Issue date of Licence	
12. Expiring date of Licence	
13. Other Relevant information	